

Individuals and Authorized Signatories

(Executors, Trustees, Signing Officers and those holding Power of Attorney)

Oaken Financial is required to identify each individual or authorized signatory on an account. We ascertain identity through seeing one piece of identification.

Identification Document Quality Requirements

Photo identification documents must be:

- Issued by a federal, provincial or territorial or foreign equivalent
- Original (not a photo scanned or faxed document)
- Valid (unexpired and signed, if appropriate)
- Legible

Exclusions

SENIORS (67 & OVER) OR MINORS* (12-17) MAY BE IDENTIFIED THROUGH THE DUAL PROCESS IF SUFFICIENT PHOTO IDENTIFICATION IS NOT AVAILABLE.

- Refer to "Dual Process Method" document located at <https://www.oaken.com/forms-open-an-account/> for more information.

*For minors 11 & under the Parent/Guardian must be identified and provide identification as required by individuals and authorized signatories.

Identification

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| 1. Canadian or foreign Passport |
| 2. Permanent Resident Card |
| 3. Citizenship Card (issued prior to 2012) |
| 4. Secure Certificate of Indian Status |
| 5. Canadian or foreign Driver's Licenses ¹ |
| 6. British Columbia Services Card |
| 7. Provincial or territorial Identity Cards |
| 8. Canadian Armed Forces Identification Card |
| 9. Canadian Firearms License |
| 10. Nexus Card |

¹ Quebec Driver's license may only be used if it is offered by the individual for identification